

**Special Lectures V**  
**Theoretical Astronomy & Astrophysics**

**1. Cosmic & Galactic Evolution  
and Origin of Matter**

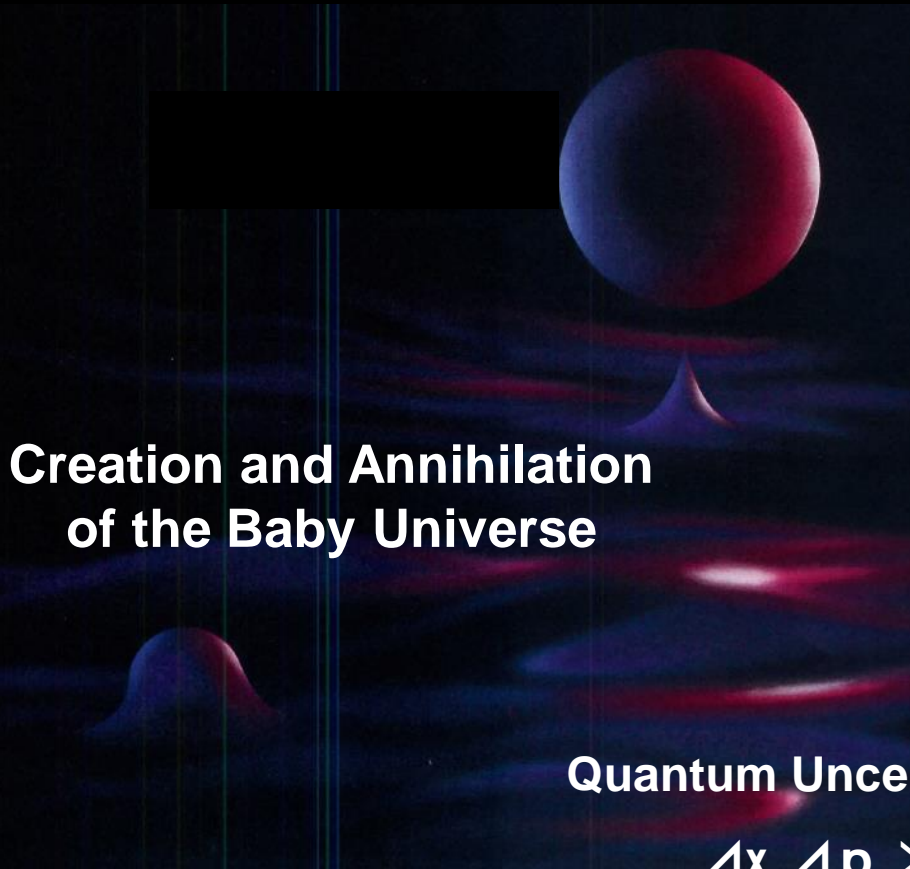
**Particle Cosmology**  
**Dynamical Large-Scale Structure (LSS) Formation**  
**Evolution of Matter (Chemical Evolution)**

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# How was the beginning of the Universe?

Unified theory (Superstring Theory, M Theory, Quantum Gravity ...)  
needs higher dimension of space-time  
and predicts “quantum fluctuations” of the Baby Universe.



Creation and Annihilation  
of the Baby Universe

Symmetry was dynamically broken,  
and the space-time underwent  
cosmic phase transition to grow  
exponentially (INFLATION).

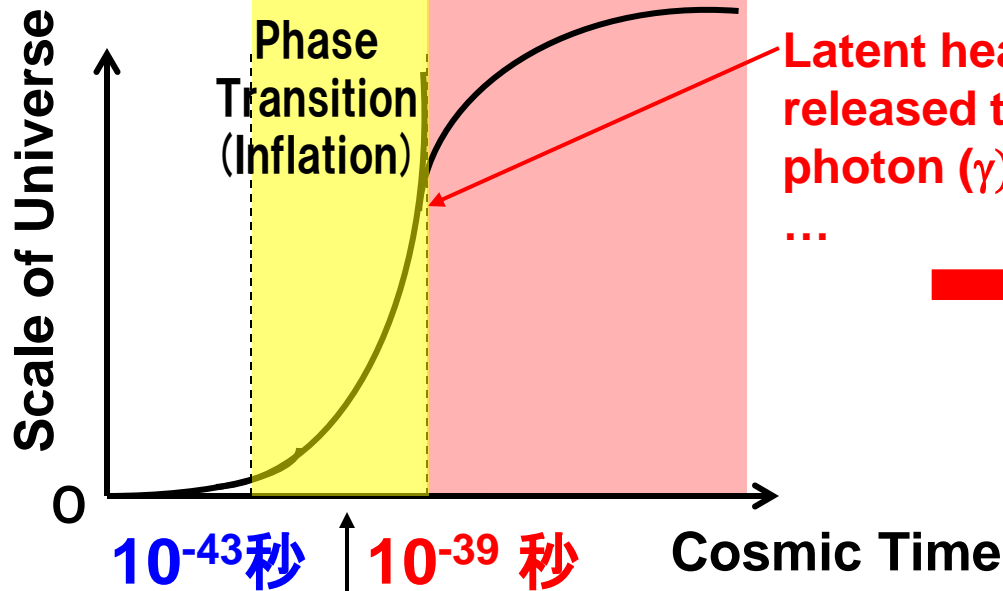


The Universe is one of multiverse.

Quantum Uncertainty Principle

$$\Delta x \Delta p > \hbar/2$$

Universe could not be created without  $\hbar$  !



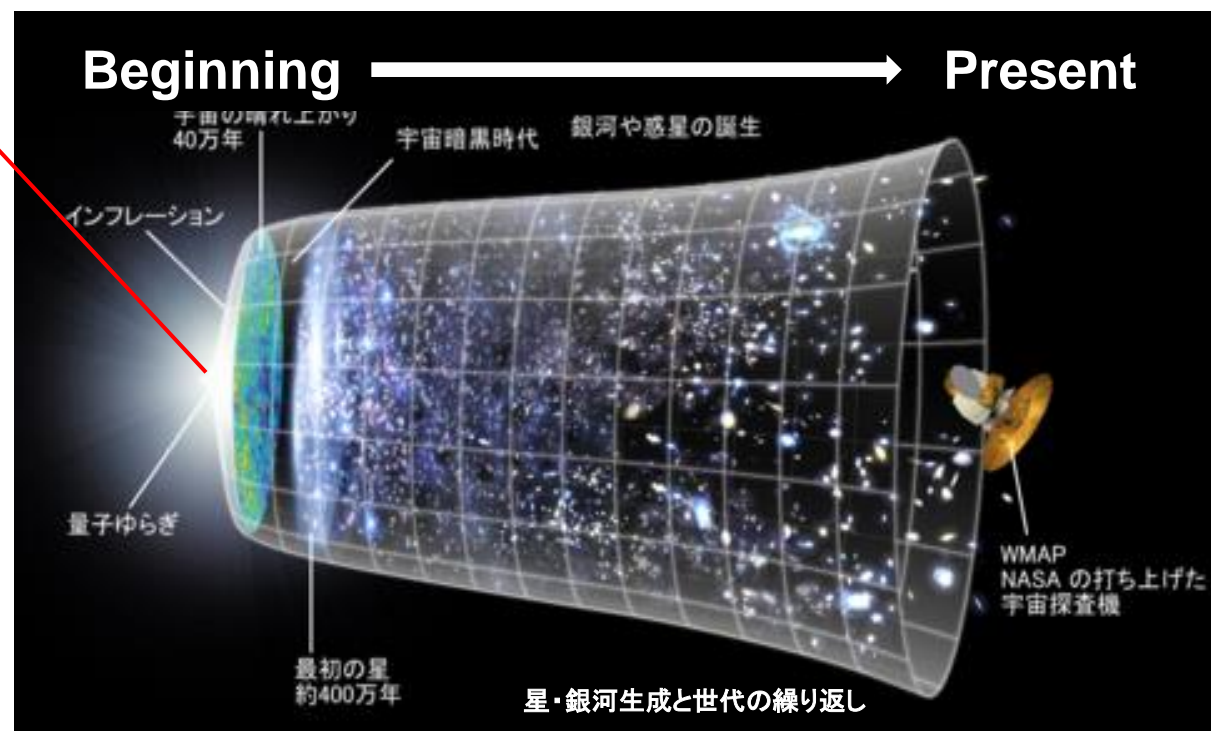
Latent heat of the phase transition was released to create elementary particles, i.e. photon ( $\gamma$ ), neutrino ( $\nu$ ), electron (e), quarks (q) ...

➔ Hot Big-Bang Fireball

Vacuum is not empty (P. M. Dirac) after  $10^{-39}$ 秒!

Symmetry was broken dynamically.

Before  $10^{-43}$ , space-time was born out of the quantum fluctuations.



# March 17, 2014

arXiv:submit/0934323 [astro-ph.CO]

BICEP2 team for the measurement of CMB polarization anisotropies announced possible discovery of cosmic background GW mode!

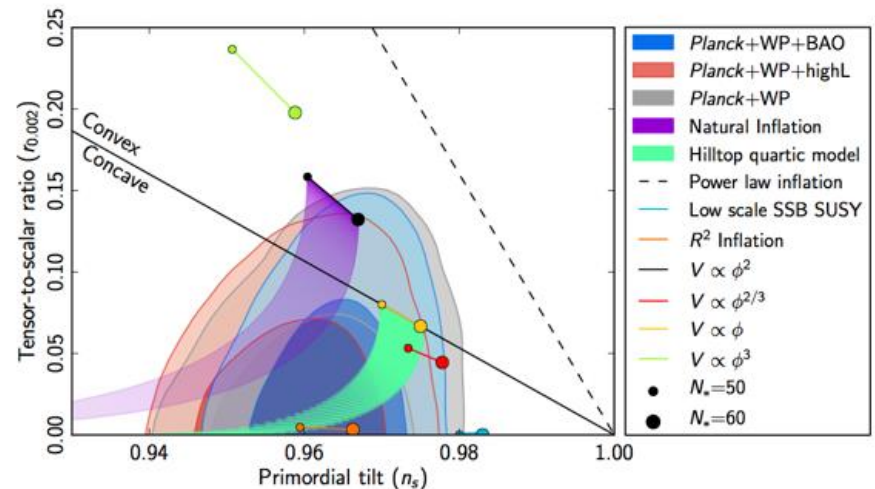
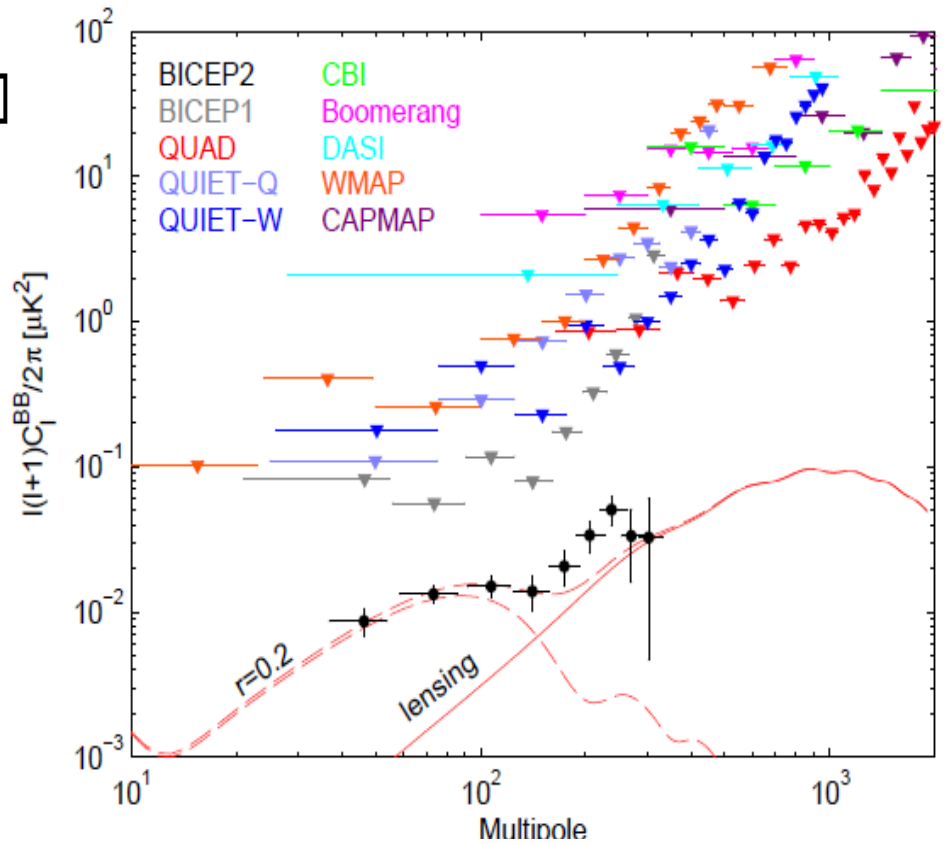
$$\text{Tensor/Scalar} = 0.2 \text{ (+0.07, -0.05)}$$



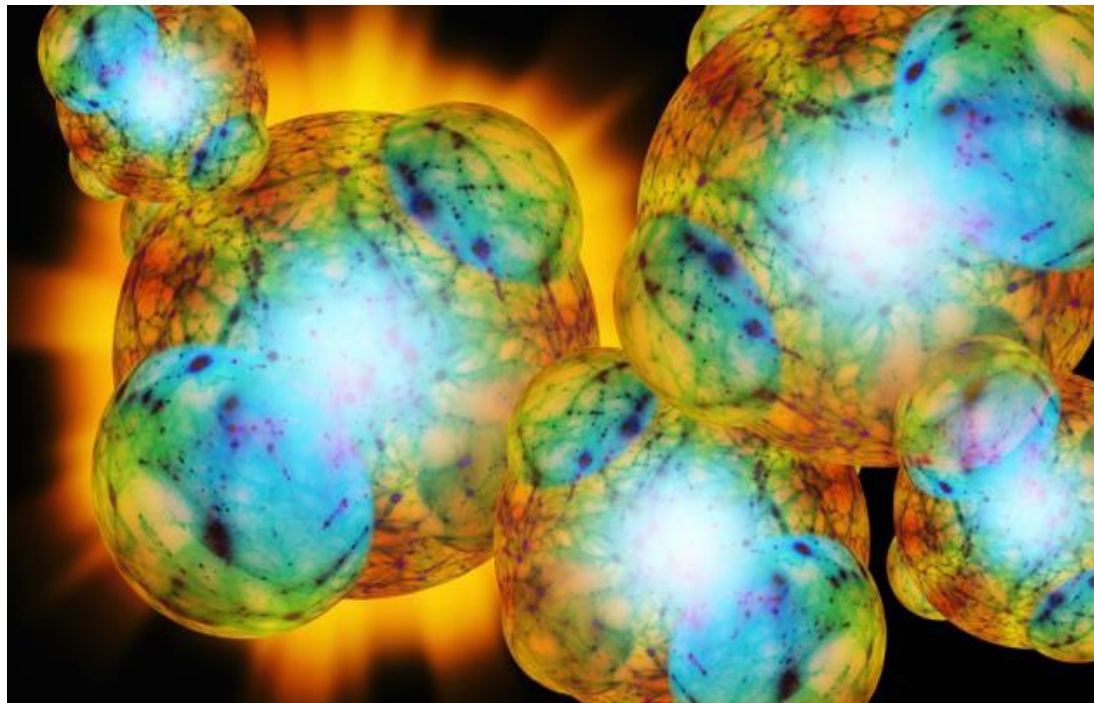
## Chaotic Inflation (A. Linde, 1983)

- Background GW (A. Starobinsky 1979)
- Old inflation (K. Sato, A. Guth 1981)

T/S is larger than prediction of superstring theory !?



# Birth of the Universe in Caotic Inflation **Multiverse**



**Wave Fnc. of  
Neighboring  
Universe**

**Wave Fnc. of  
Our Universe**

$\Psi$

$\Psi$

CMB Temperature anisotropies  
of our Universe seen by Planck !

**Quantum Entanglement**

$x$

# Dark Flow to be discovered in Type Ia SNe ?

## An EVIDENCE for Quantum Entanglement ?

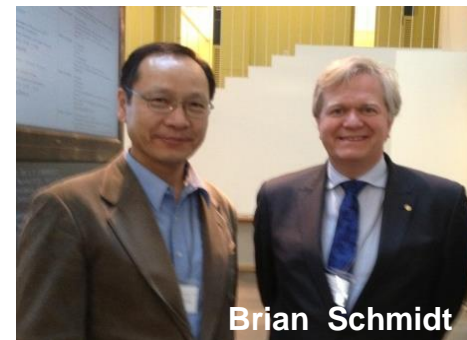
G.J. Mathews, B. Rose, P. Garnavich (Notre Dame), D. Yamazaki, T. Kajino (Tokyo),  
Astrophys. J. 827 (2016), 60.



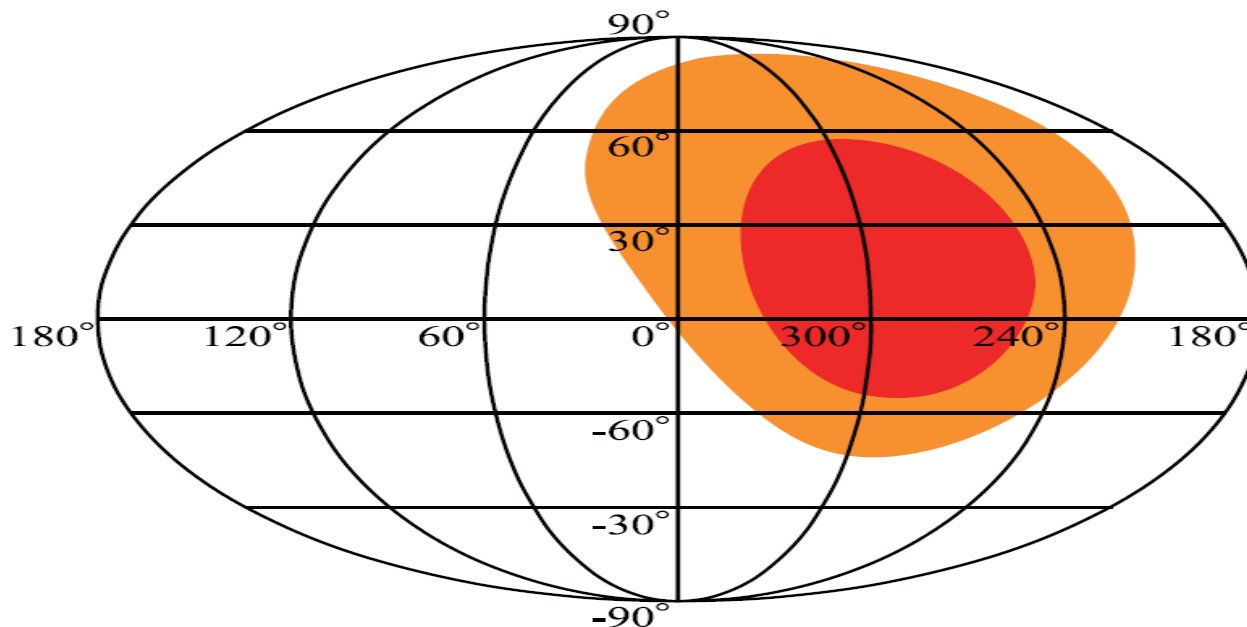
T. K. Grant J. Mathews



Peter Garnavich



T. K.

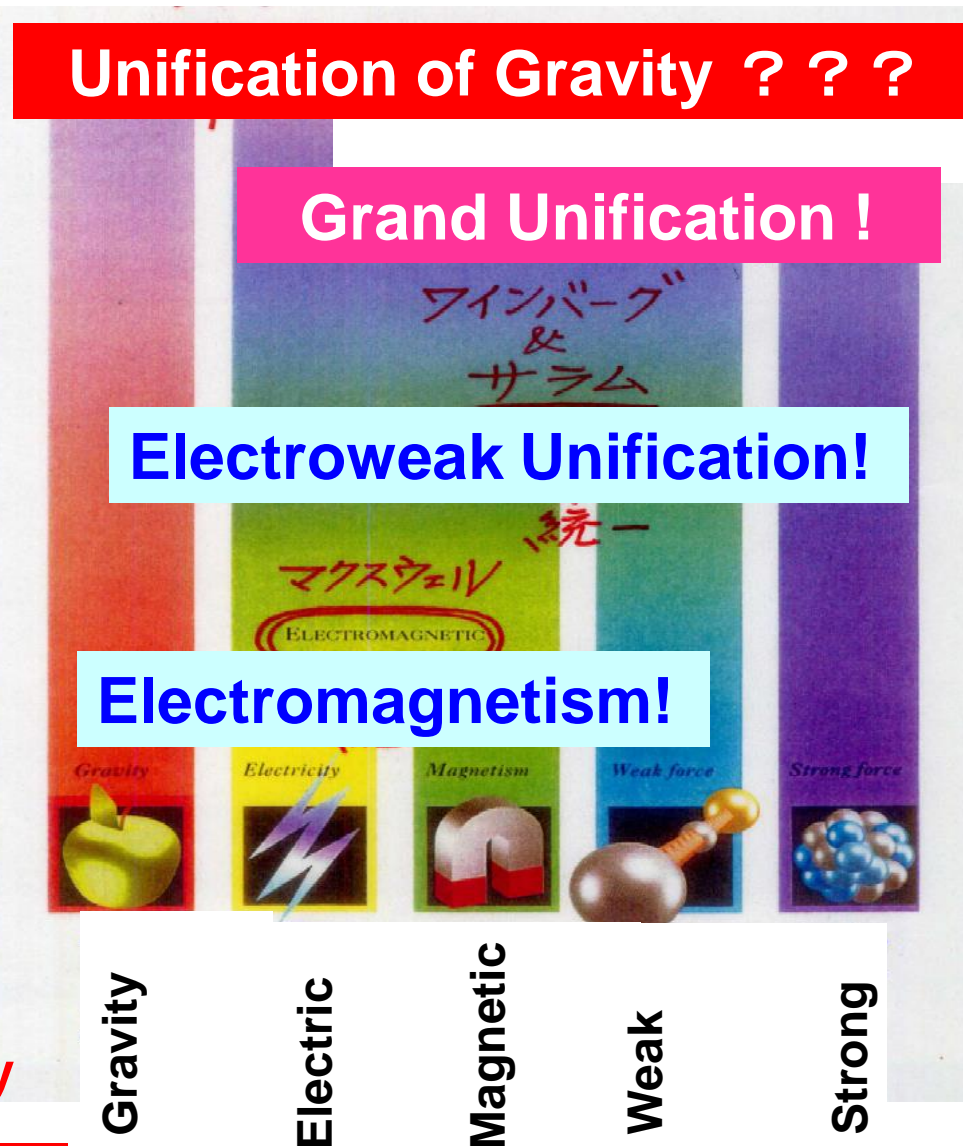


# Ultimate Challenge of Modern Science !

:- is to construct Unified Theory of Fundamental Forces, and to resolve the mystery of the beginning and evolution of the Universe!

- Electromagnetism  
Maxwell (1864)
- Electroweak Unification  
Weinberg and Salam (1973)
- Grand Unification !  
Gauge Theory, unfinished !
- Unification of Gravity ???  
Superstring, SUSY, Supergravity


Need EXTRA DIMENSION ?



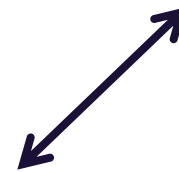
# Superstring Theory – 10 Dimension

## A Unified Theory, Landscape Theory

- There are  $\sim 10^{500}$  vacua in string theory.
- Vacuum energy  $\rho_v$  may be positive or even negative.
- Some of them have  $\rho_v \ll M_p$ .

Universe  Multiverse

Quest for observational signal  
of Multiverse!



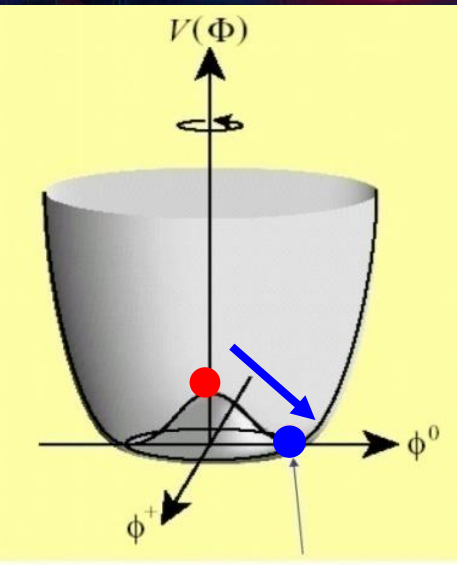
Which ?



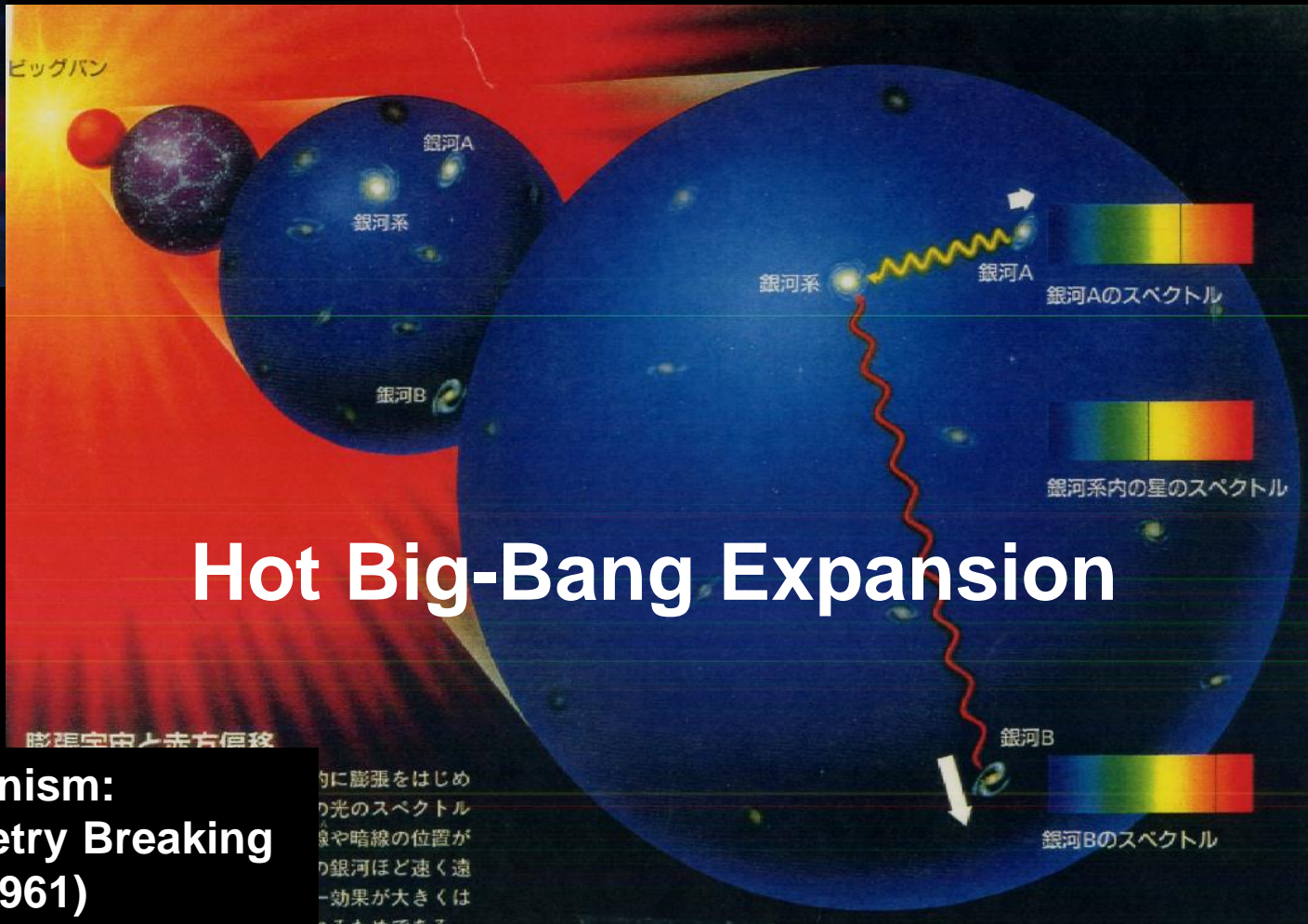
# Why could our Universe grow?

## Symmetry was BROKEN !

Baby Universe



Higgs mechanism:  
Spontaneous Symmetry Breaking  
Y. Nambu (1961)



## Hot Big-Bang Expansion


膨張宇宙と赤方偏移

的に膨張をはじめ  
り光のスペクトル  
線や暗線の位置が  
り銀河ほど速く遠  
-効果が大きくは  
るためである

# Spontaneous Symmetry Breaking !

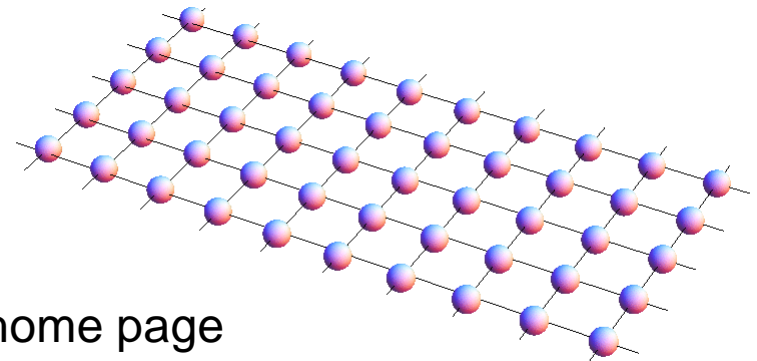
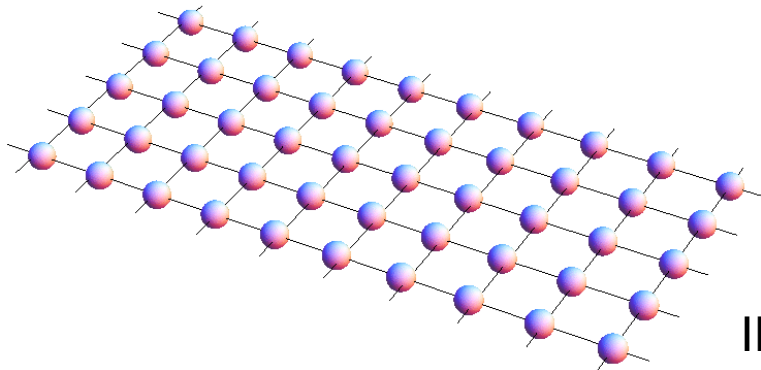
Liquid water has a continuous symmetry for spatial translation. However, ice (solid water) has a periodic structure. **→ Dynamical symmetry is spontaneously broken!**



Phase  
Transition  




Y. Nambu discovered in 1961 that if a continuous symmetry is spontaneously broken, wave is created. Wave is equivalent to a quantum particle, called Nambu-Goldstone boson. Nambu predicted that the number of N-G bosons is the same as the degrees of freedom of breaking symmetries.

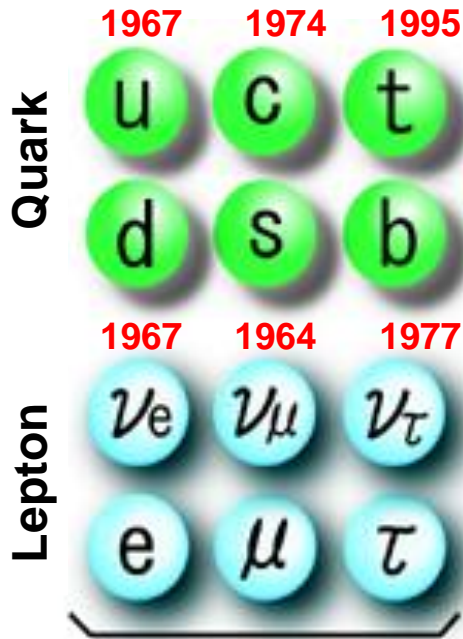


IPMU home page

# Standard Theory of Elementary Particles and Fields

Matter particles to form the Universe, and Field Particles to bring forces.

## Matter Particles



3 generation



M. Kobayashi  
H. Masukawa (1973)

## Gauge Particles



Unification ?

$$U(1) \times SU(2) \times SU(3)$$



F. Englert (1964) P. Higgs

## Higgs Particles

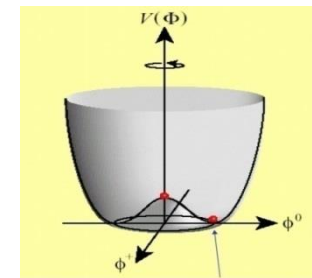


To be found ?

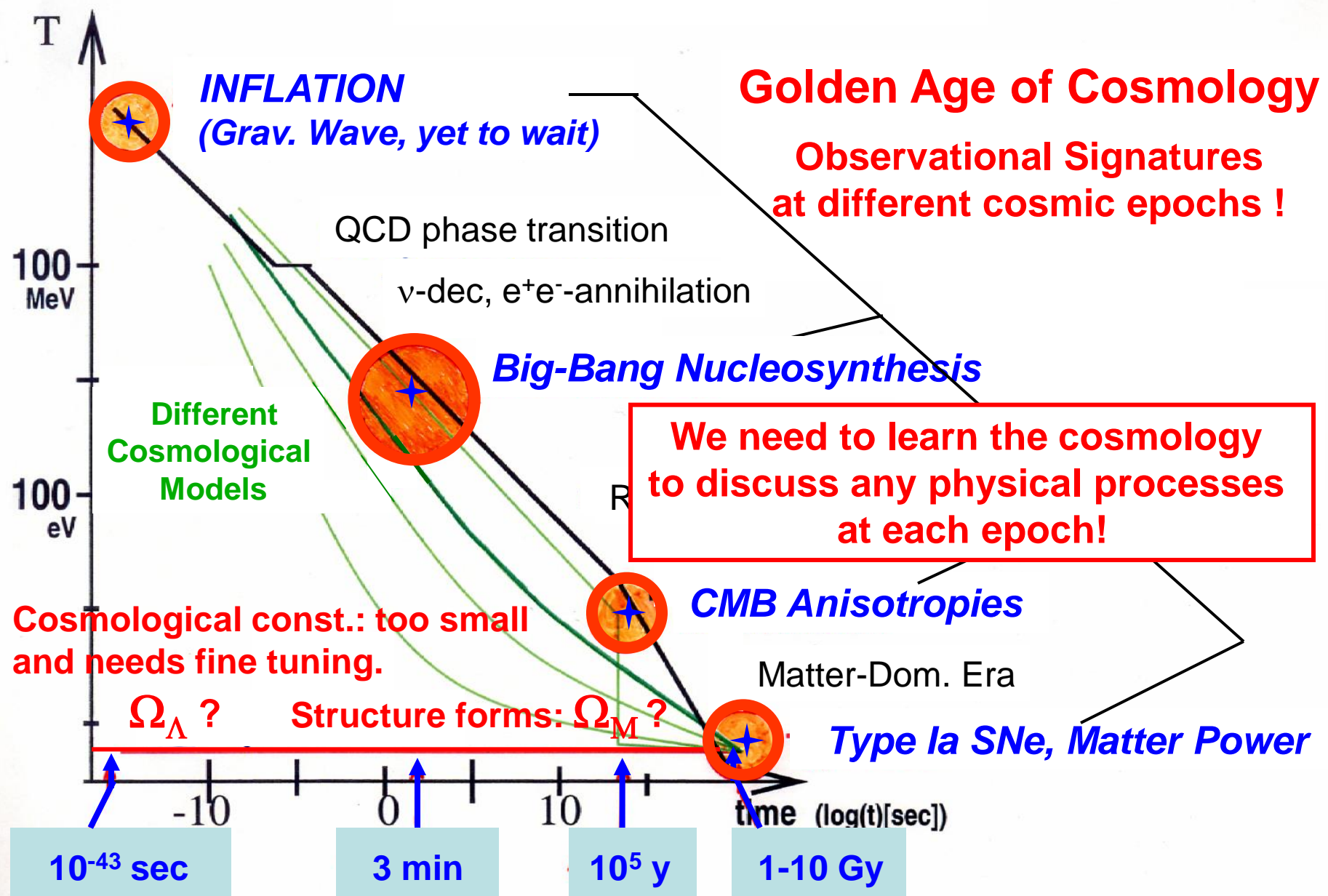


Y. Nambu (1961)

Spontaneous Symmetry Breaking



# Thermal History of the Universe



# OUTLINE

## A Challenge of the Century

Why is the Universe flat and accelerating?

$$\Omega_B + \Omega_{\text{CDM}} + \Omega_\Lambda = 1 \quad ?$$

- What is the CDM,  $\Omega_{\text{CDM}} = 0.268$  ?  
Elementary particles, to be discovered? Astron.Observation?
- What is DARK ENERGY,  $\Omega_\Lambda = 0.683$  ?  
Unified theory of extra-dimension?
- Baryonic Matter,  $\Omega_B = 0.049$ , perfectly known ?  
Big-Bang, Stellar and Supernova Nucleosynthesis?

## This Lecture

- CMB Anisotropies ( $t \sim 3.8 \times 10^5$  y) constrain cosmic evolution from RD – Last Photon Scatt. – MD –  $\Lambda$ -dominated Universe.
- Redshift-magnitude relation of the Type Ia SNe ( $t \sim 1\text{-}10$  Gy) constrains turn over from Cosmic Deceleration – Acceleration.
- Big-Bang and Supernova Nucleosynthesis is a CANDLE to look at dark side of the Universe.

**Basics of Theoretical Astronomy and Astrophysics – 2**  
**Oct. 3, 2016**

# **Basics of the Standard Cosmology**

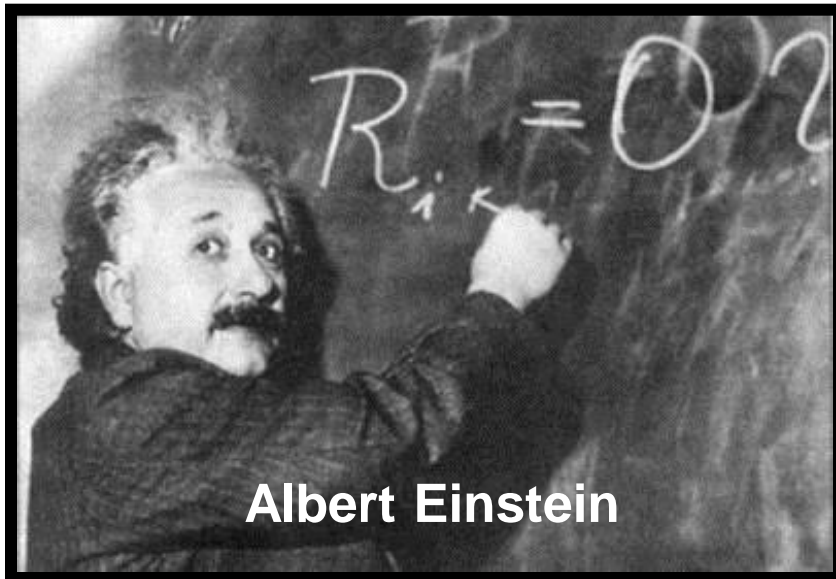
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**SCIENTIFIC GOAL is  
to elucidate the tight coupling between the frontline  
of cosmology and astrophysics.**

**Einstein Cosmology  
1915**

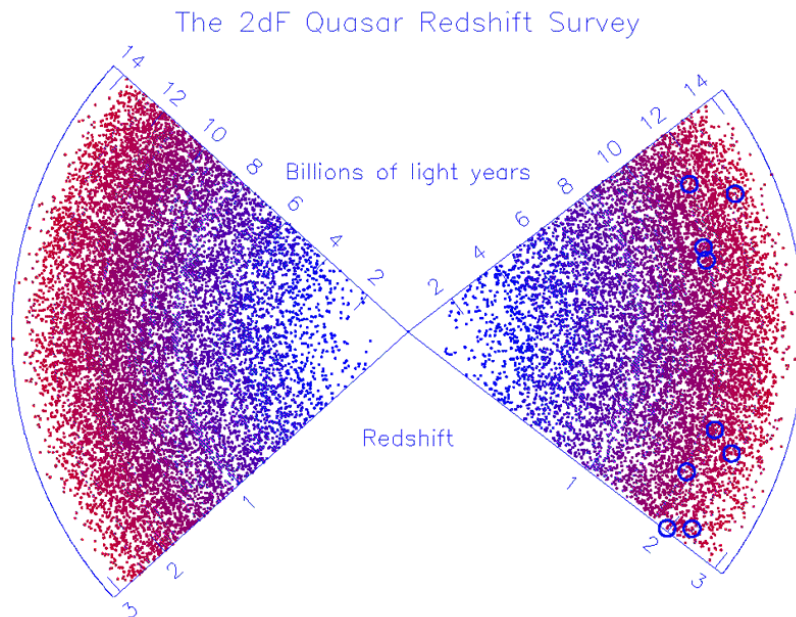


**Standard Big-Bang Model  
1948**



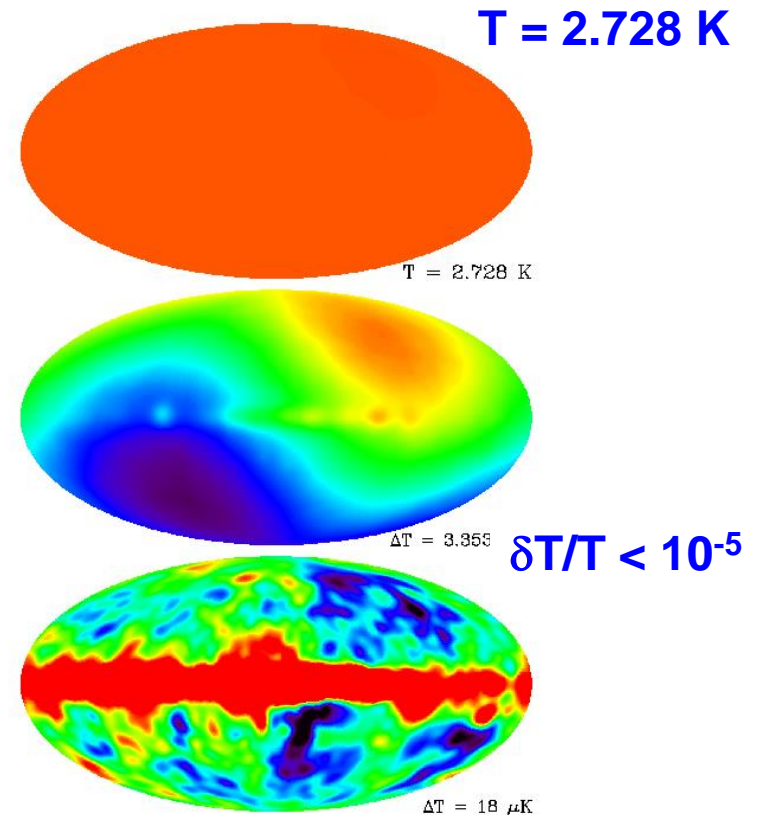
# Standard Big-Bang Cosmology

The Universe is **homogeneous** and **isotropic** in a large enough scale.



2dF Quasar (Matter) Distribution:

**Homogeneous**



Sky Maps of CMB:

**Isotropic**



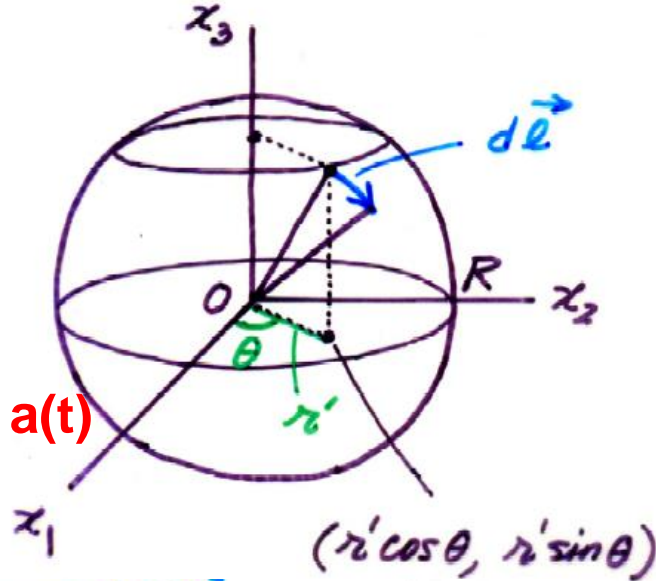
# Robertson-Walker Metric: HOMOGENEOUS & ISOTROPIC

Isotropy

$$ds^2 \equiv -g_{\mu\nu} dx^\mu dx^\nu = \overset{c \equiv 1}{dt^2} - 2 \overset{0}{g_{0i}} dx^0 dx^i - g_{ij} dx^i dx^j = dt^2 - d\vec{\ell}^2$$

Positively curved S2, embedded in 3D Euclidean space

Radius "R"  
↓  
Scale Factor  
"a(t)"



$$R^2 = x_1^2 + x_2^2 + x_3^2$$

$$0 = x_1 dx_1 + x_2 dx_2 + x_3 dx_3$$

$$d\vec{\ell}^2 = dx_1^2 + dx_2^2 + dx_3^2$$

$$= dx_1^2 + dx_2^2 + \frac{(x_1 dx_1 + x_2 dx_2)^2}{R^2 - (x_1^2 + x_2^2)}$$

$$= r'^2 d\theta^2 + \frac{R^2 dr'^2}{R^2 - r'^2}$$

$$\equiv R^2 \left( r'^2 d\theta^2 + \frac{dr'^2}{1 - r'^2} \right)$$

Homogeneity  $R(t) = a(t)$

Positively and Negatively curved S2

$$ds^2 = dt^2 - R^2(t) \left\{ \frac{dr'^2}{1 - kr'^2} + r'^2 d\theta^2 \right\}$$

Real World is S3

$k = \pm 1, 0$  (Flat)

$$0 \leq r' \equiv \frac{r}{R} \leq 1$$

$$ds^2 = dt^2 - R^2(t) \left\{ \frac{dr'^2}{1 - kr'^2} + r'^2 (d\theta^2 + \sin^2 \theta d\phi^2) \right\}$$

# General Relativity

$R(t) \rightarrow a(t)$  = scale factor

(1) In the limit of Weak Gravity,  
Einstein equation turns out to be  
Newtonian Gravity,

(2) Covariance,

(3) Constant velocity of light.

$$G^{\mu\nu} = R^{\mu\nu} - \frac{1}{2} R g^{\mu\nu} = 8\pi G T^{\mu\nu} + \Lambda g^{\mu\nu}$$

$$R_{\mu\nu} = R^{\lambda}{}_{\mu\lambda\nu} = \partial_{\lambda} \Gamma_{\mu\nu}^{\lambda} - \partial_{\nu} \Gamma_{\mu\lambda}^{\lambda} + \Gamma_{\eta\lambda}^{\lambda} \Gamma_{\mu\nu}^{\eta} - \Gamma_{\eta\nu}^{\lambda} \Gamma_{\mu\lambda}^{\eta}$$

$$\Gamma_{\mu\nu}^{\lambda} = \frac{1}{2} g^{\lambda\beta} \left\{ \partial_{\nu} g_{\beta\mu} + \partial_{\mu} g_{\beta\nu} - \partial_{\beta} g_{\mu\nu} \right\}$$

$$g_{\mu\nu} = \begin{bmatrix} -1 & & & \\ & \frac{a^2(t)}{1-kr^2} & & \\ & & a^2(t)r^2 & \\ & & & a^2(t)r^2 \sin^2 \theta \end{bmatrix}$$

$$T^{\mu}{}_{\nu} = \begin{bmatrix} -\rho & & & \\ & p & & \\ & & p & \\ & & & p \end{bmatrix}$$

$$G^{\mu\nu} = R^{\mu\nu} - \frac{1}{2} R g^{\mu\nu} = 8\pi G T^{\mu\nu} + \Lambda g^{\mu\nu}$$

**time-time component:**

**Dynamical Eq. of Motion**

$$\dot{a}^2 + k = 8\pi G/3 \rho a^2 + \Lambda/3 a^2 \quad (1)$$

**space-space component:**

$$2a\ddot{a} + \dot{a}^2 + k = -8\pi G p a^2 + \Lambda a^2 \quad (2)$$

$\frac{d}{dt}$  [ax(1)] & (2):

**3<sup>rd</sup> Law of Thermodynamic**

**(Energy Conservation)**

$$\frac{d}{dt}(\rho a^3) + p \frac{d}{dt} a^3 = 0 \quad (3)$$

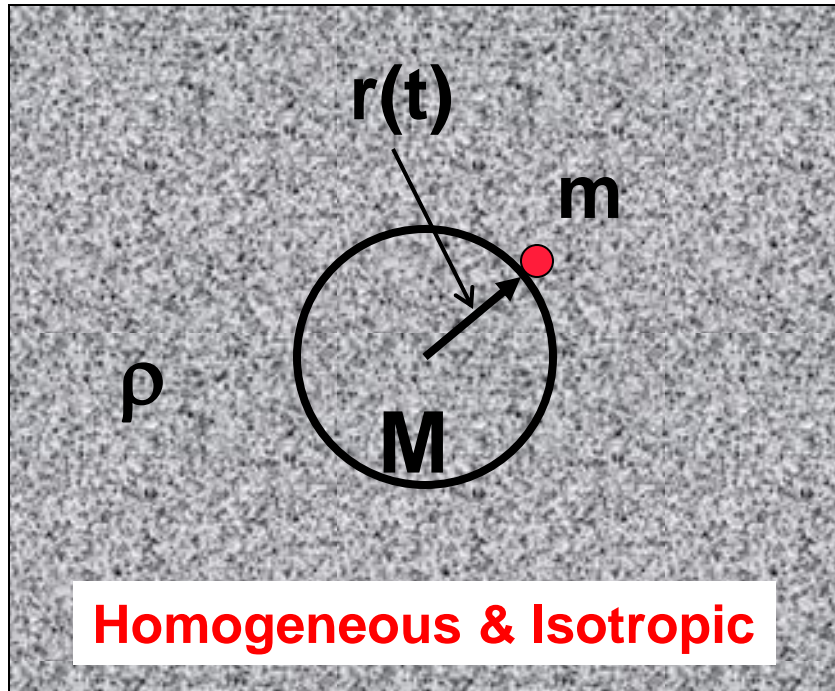
**EOS (Equation of State)**

$$p = \rho/3 \text{ (rel)} \quad (4)$$

# Newtonian Equation

**Birkoff's Theorem:**

**Gravity due to mass interior to an arbitrary sphere.**



$$M = \frac{4}{3}\pi\rho r^3$$

$$E = \frac{1}{2}mv^2 - \frac{GmM}{r}$$

$$\frac{1}{2}mv^2 = \frac{Gm[(4/3)\pi\rho r^3]}{r} + E$$

$$\downarrow \times 1/2mr^2$$

$$\left(\frac{v}{r}\right)^2 = \frac{8}{3}\pi G\rho + \frac{2E}{mr^2}$$

# Einstein Equation

$$G^{00} = 8\pi GT^{00} + \Lambda g^{00}$$

Friedmann Eq.

Cosmological Constant.

$$H^2 = \frac{8}{3} \pi G \rho - \frac{k}{a^2} + \frac{\Lambda}{3}$$

$$H^2 = H_0^2 \left( \frac{\Omega_\gamma}{a^4} + \frac{\Omega_M}{a^3} + \frac{\Omega_k}{a^2} + \Omega_\Lambda \right)$$

$$T \propto a^{-1} \quad \Omega \rightarrow 1 \quad \text{at } t = t_0 \quad a_0 = 1$$

# Deceleration parameter

$$q_0 = -(\ddot{r}/\dot{r}^2)/rH^2 = [\Omega_{\text{CDM}}/2 - \Omega_\Lambda]$$

# Newtonian Equation

Hubble parameter

$$H = v/r$$

$$-k = E/m$$

$$\left(\frac{v}{r}\right)^2 = \frac{8}{3} \pi G \rho + \frac{2E}{mr^2}$$

$$\Omega_\alpha = \rho_\alpha / \rho_C$$

$$\rho_C = 3H_0^2 / 8\pi G$$

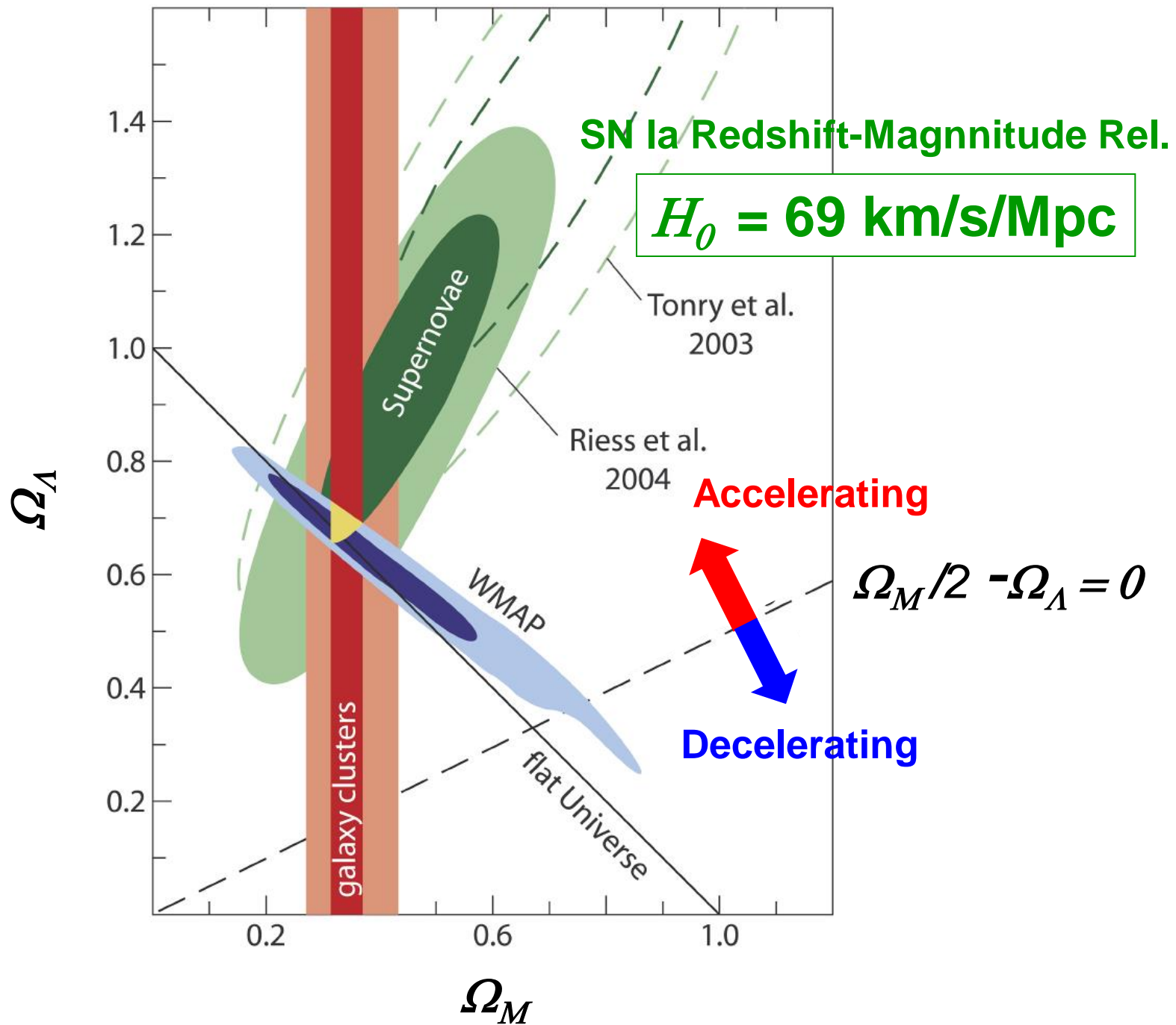
a = r = scale factor

0.3

0.7

$$\Omega_{\text{CDM}}/2 < \Omega_\Lambda$$

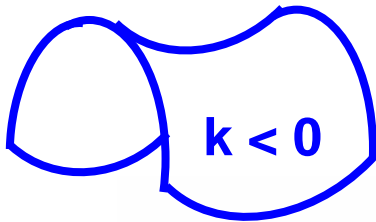
**acceleration !**



# Newtonian Orbits: OPEN or CLOSED ?

## Explorer - OPEN

- $\Omega < 1$
- $E > 0$  ( $v > v_{esc}$ )



## Missile - CLOSED

- $\Omega > 1$
- $E < 0$  ( $v < v_{esc}$ )

$$k > 0$$

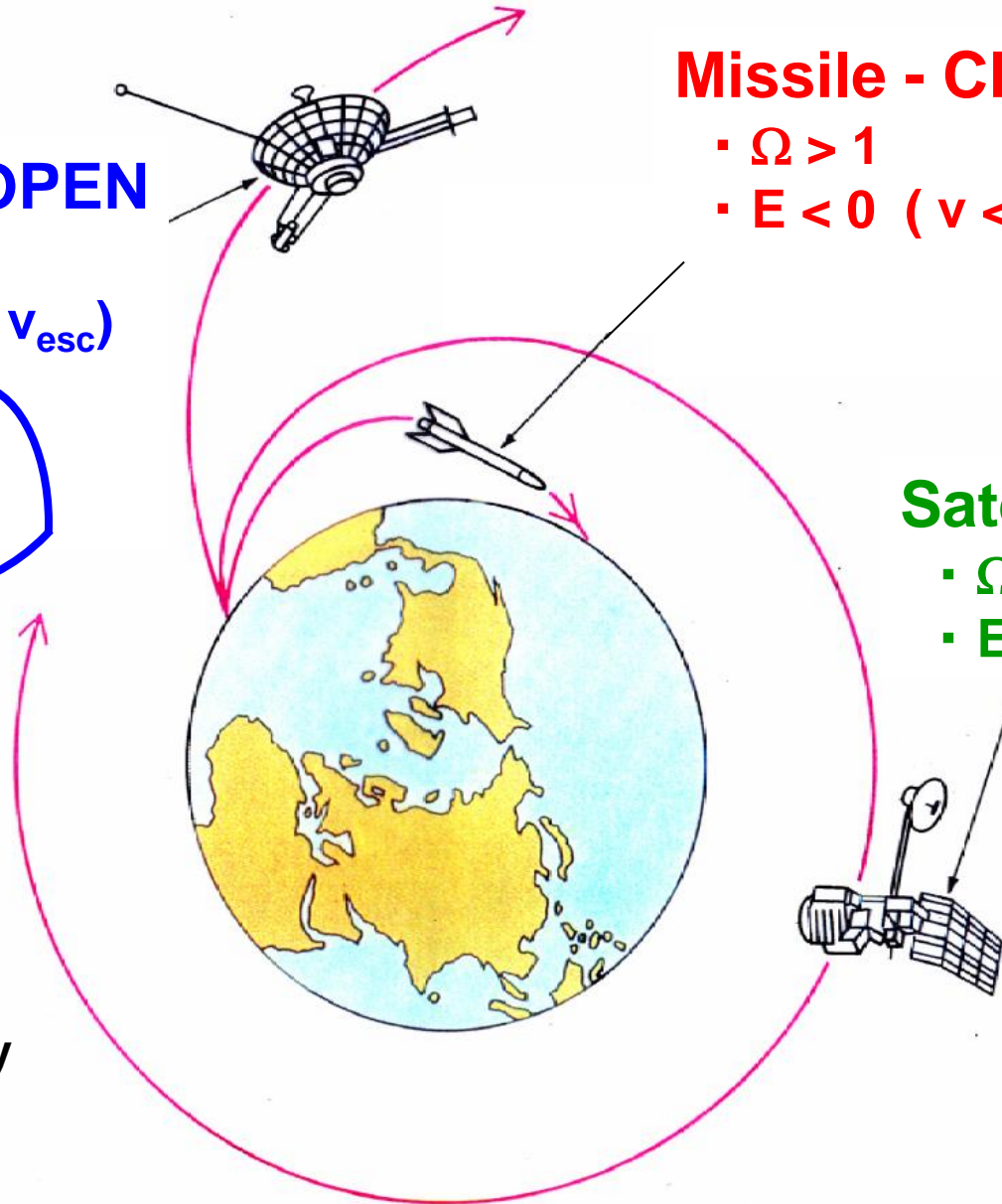
## Satellite - MARGINAL

- $\Omega = 1$
- $E = 0$  ( $v = v_{esc}$ )

$$k = 0$$

Escaping Velocity

$$v_{esc} = 11.2 \text{ km/s}$$



$$\left(\frac{\dot{a}}{a}\right)^2 = H^2 = \frac{8}{3}\pi G\rho - \frac{k}{a^2} + \frac{\Lambda}{3}$$

$$\frac{d}{dt}(\rho a^3) + p\frac{d}{dt}a^3 = 0$$

EOS

$\Lambda = 0$ :

**Matter Dominated Era**

1)  $k = 0$       $\left(\frac{\dot{a}}{a}\right)^2 \propto a^{-3}$      ( $\rho \propto ma^{-3}$ )      $a^{1/2}da \propto dt$       $a \propto t^{2/3}$

2)  $k = -1 < 0$       $\left(\frac{\dot{a}}{a}\right)^2 = 8\pi G/3 ma^{-3} + a^{-2} \rightarrow a^{-2}$  (for large  $a$ )  
 $da \propto dt$       $a \propto t$

3)  $k = +1 > 0$       $\left(\frac{\dot{a}}{a}\right)^2 = 8\pi G/3 ma^{-3} - a^{-2} \rightarrow 0$  (at some  $a = a_s$ )  
 & bounce later.

$\Lambda > 0$  and dominates:

$$\left(\frac{\dot{a}}{a}\right)^2 = \Lambda/3 \quad a^{-1}da \propto dt \quad a \propto \exp[(\Lambda/3)^{1/2}t]$$



2016, UT Lectures  
Basics of Theoretical Astronomy and Astrophysics

**Report**

1) Calculate the acceleration,  $d^2a/dt^2$ , from the Friedmann equation.

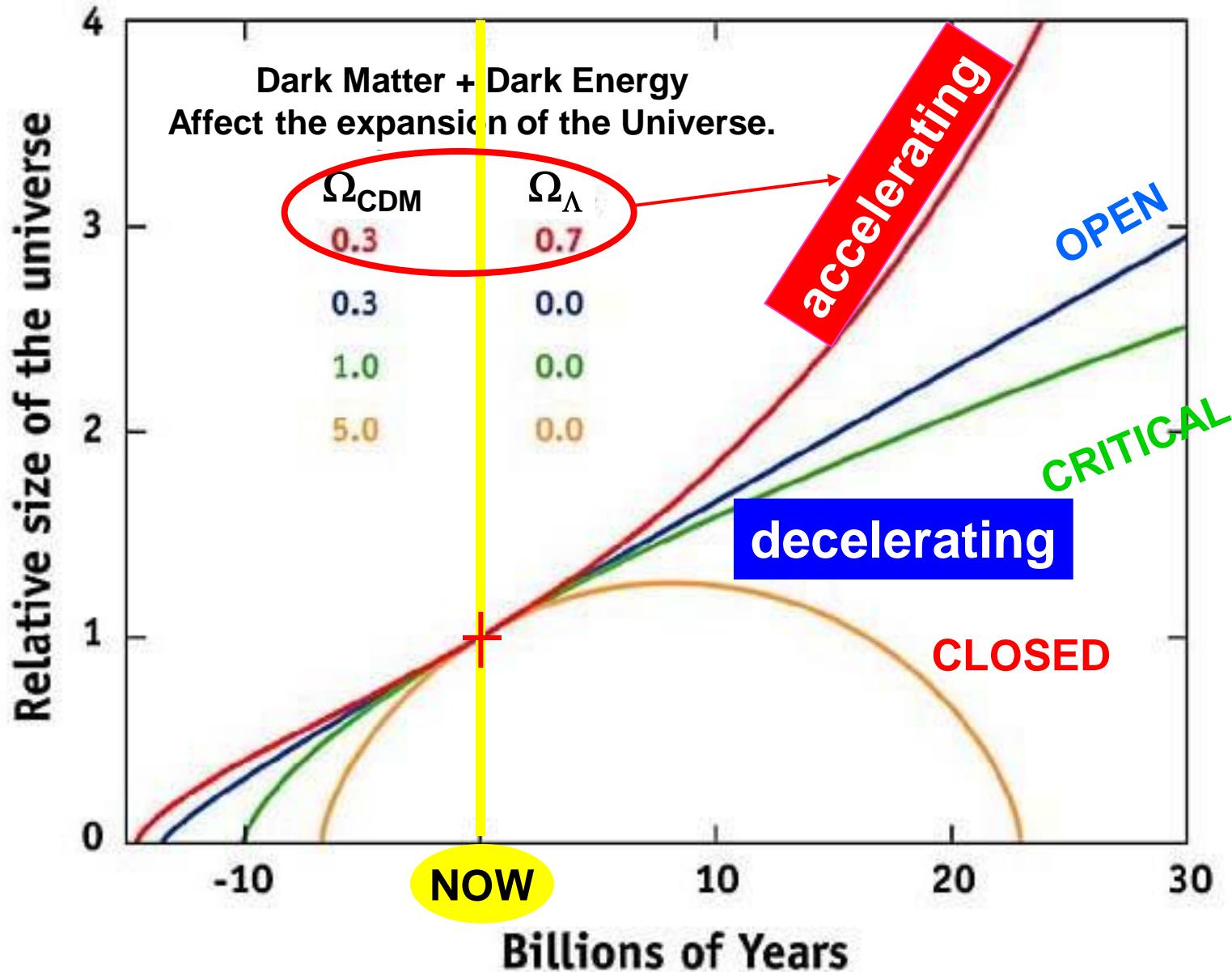
Answer the following questions:

2) Show the sign of  $d^2a/dt^2$  when  $\Lambda = 0$ .

Cosmic expansion velocity could be zero ( $da/dt = 0$ ), however acceleration  $d^2a/dt^2$  cannot be zero. We cannot live in a stationary and static universe when  $\Lambda = 0$ .

3) When  $\Lambda > 0$ , show the condition that holds among cosmological parameters to satisfy  $da/dt = 0$  and  $d^2a/dt^2 = 0$ . We can live in a stationary and static universe only when  $\Lambda > 0$ .

# Cosmic Expansion



# Pie Chart of Cosmic Mystery

Ordinary matter makes up a small fraction of mass/energy.

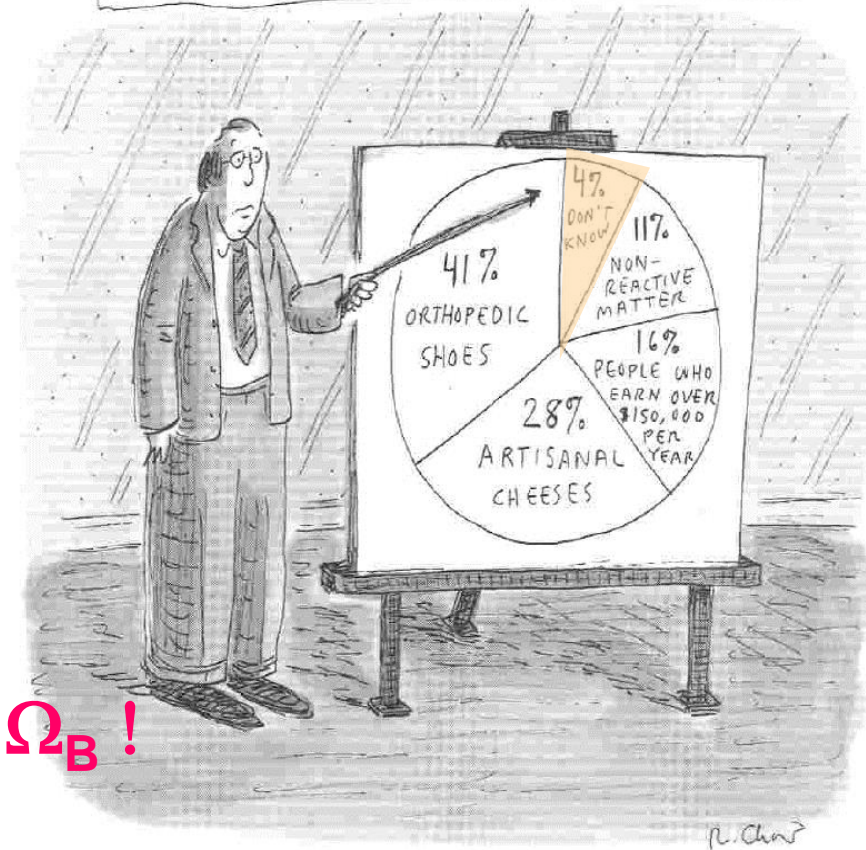
Dark matter and dark energy dominate.

$\Omega_{\Lambda}$  ?



(HDM:  $\Omega_{\nu} > 0.003$ )  $\Omega_{\text{CDM}}$  ?

## THE PIE CHART OF MYSTERY



What is the dark component of the Universe!

# How to determine the cosmological parameters

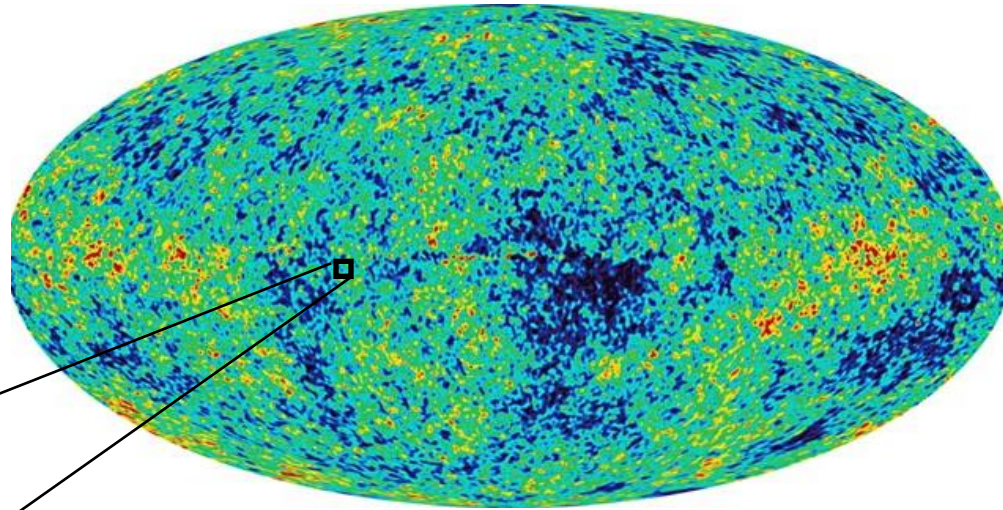
$$\Omega_i = \rho_i / \rho_c ?$$

- $\Omega_{\gamma\nu} < 0.01\%$       $\Omega_{\gamma} \rightarrow$  Temperature of CBR (Cosmic Background Radiation)  
 $\Omega_{\nu} \rightarrow$  Upper limit from neutrino oscillation
  - $\Omega_{\Lambda} = 73\%$      **Ia Supernovae**   **CMB** (Cosmic Background Anisotropies)
  - $\Omega_{\text{CDM}} = 23\%$      **Ia Supernovae**   **CMB** (Cosmic Background Anisotropies)  
Gravitational Lensing
  - $\Omega_{\text{B}} = 4\%$      **CMB** (Cosmic Background Anisotropies)  
**Big-Bang Nucleosynthesis**
- 

\*  $\Omega_{\gamma\nu} + \Omega_{\text{CDM}} + \Omega_{\text{B}} + \Omega_{\Lambda} = 1$      From all above combination

\* Cosmic Age     **Ia Supernovae** + All above combination = 13.7 Gy

# Primordial fluctuations in hot Big-Bang Universe was discovered by Smoot and Mathar in 1992.



(COBE Satellite)

$t = 3.8 \times 10^5 \text{ y}$



$3.8 \times 10^5 \text{ y}$



13.7 Gy

Assuming 73% dark energy (DE) and 23% dark matter (DM), computer simulation of cosmic structure formation best explains the observed structure!

**What is the nature and origin of DE and DM ?**

# Inflationary Hypothesis

## Inflationary Scenario

### Horizon Problem

$$(\delta T/T)_{\text{RECOM.}} \approx 10^{-5} \text{ (Dipole)}, 10^{-6} \text{ (Quadrupole)}$$

EXTREMELY ISOTROPIC ! WHY?

### Flatness Problem

$$\frac{k}{R_0^2} = \underbrace{H_0^2}_{\text{const.}} \underbrace{\left(\frac{3}{2}\Omega_0 - 1\right)}_{\mathcal{O}(0)} + \underbrace{\left(\frac{\ddot{R}}{R}\right)_0}_{\text{TOO SMALL TO BE OBSERVED}} \rightarrow 0 \text{ FLAT ! WHY?}$$

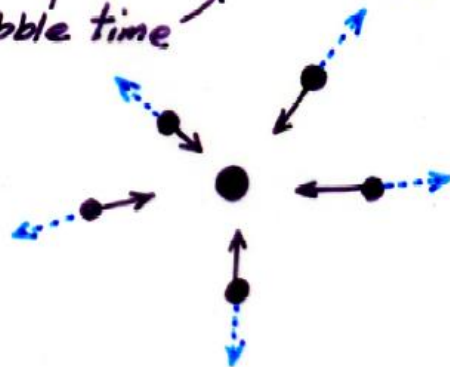
## Hubble (Causal) Horizon

$$cH^{-1} \equiv ct_H \equiv c\left(\frac{\dot{R}}{R}\right)^{-1}$$

Hubble time

$$t_c = \frac{1}{n\sigma v}$$

Collision time



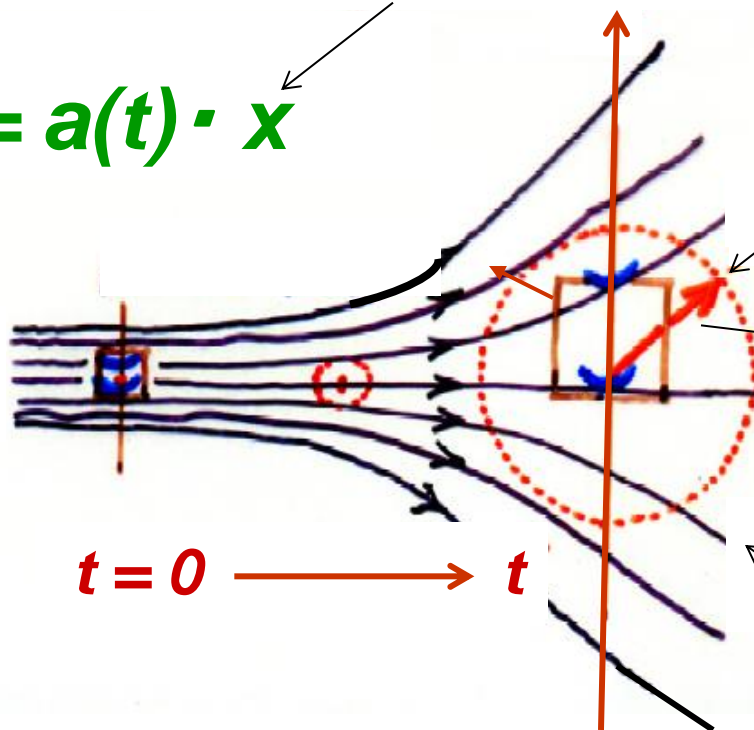
Only when  $t_c \lesssim t_H$ ,  
physical process happens CAUSALLY!

Physical Distance

Comoving Coordinate

$$d = a(t) \cdot x$$

Lines of flow  
in the RIVER



(Causal) Hubble Horizon

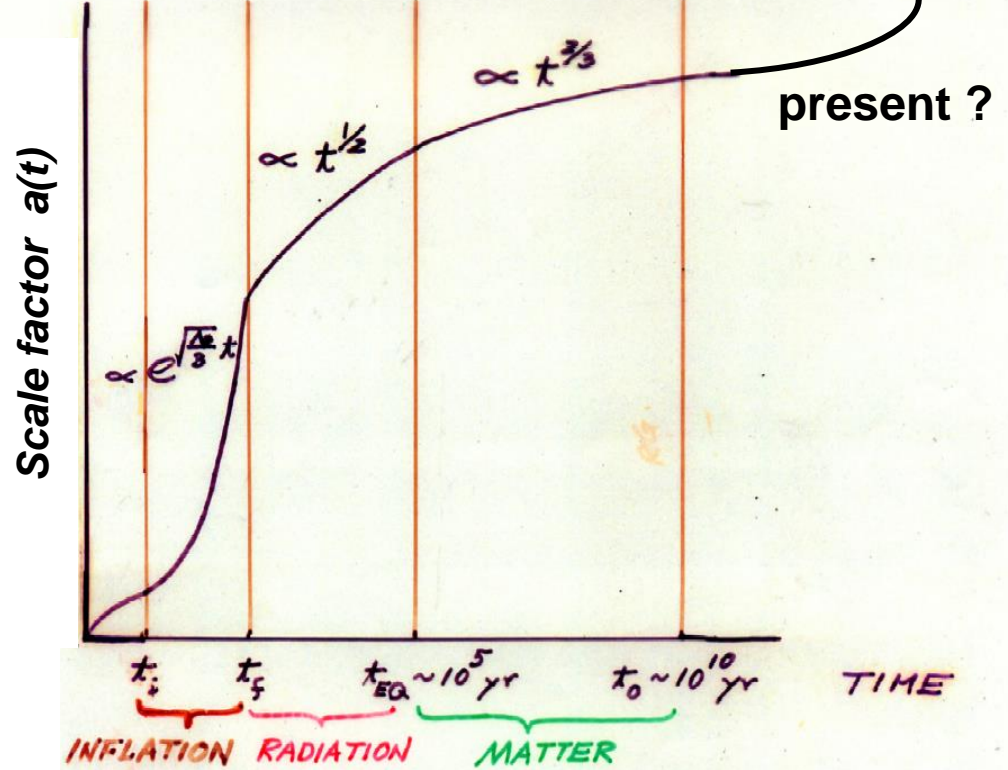
$c$  = Velocity of light is constant in any time  $t$  & any scale factor  $a(t)$ .

$a(t)$  = Scale Factor

Epoch	Scale factor $a(t)$	Hubble horizon $cH^{-1}(t)$
Vacuum-dominated (INFLATION)	$e^{\sqrt{\Lambda_0} t}$	const.
Radiation-dominated	$t^{1/2}$	$2t$
Matter-dominated	$t^{2/3}$	$\frac{3}{2} t$

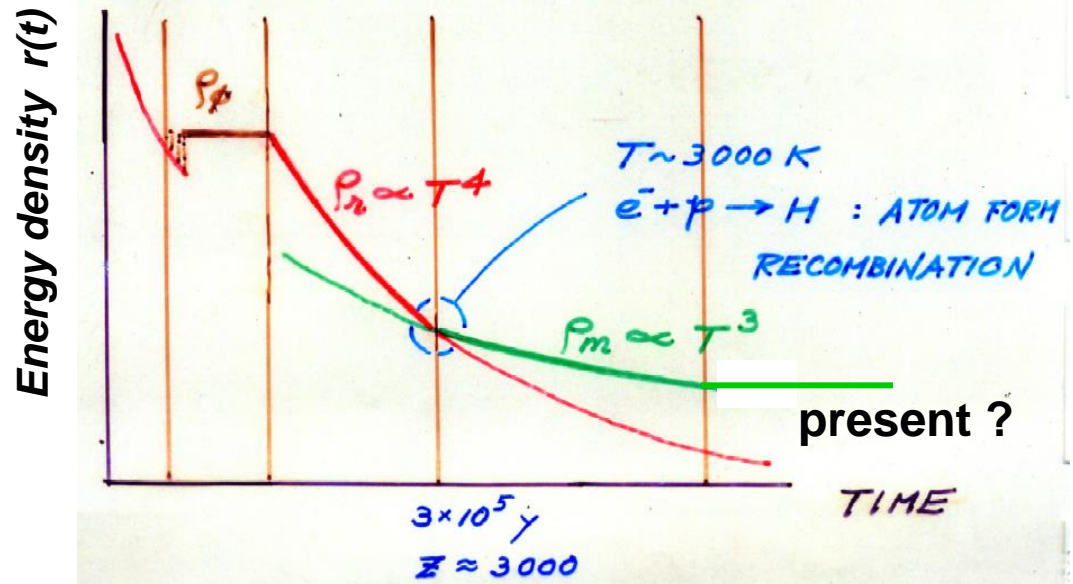
## Metric Expansion

- $a(t) \sim \exp(\alpha t)$  (Inf.)
- $\sim t^{1/2}$  (RD)
- $\sim t^{2/3}$  (MD)
- $\sim \exp(\beta t)$  (present)



## Energy Density

- $\rho(t) \sim \text{const.}$  (Inf.)
- $\sim T^4$  (RD)
- $\sim T^3$  (MD)
- $\sim \text{const}$  (present)

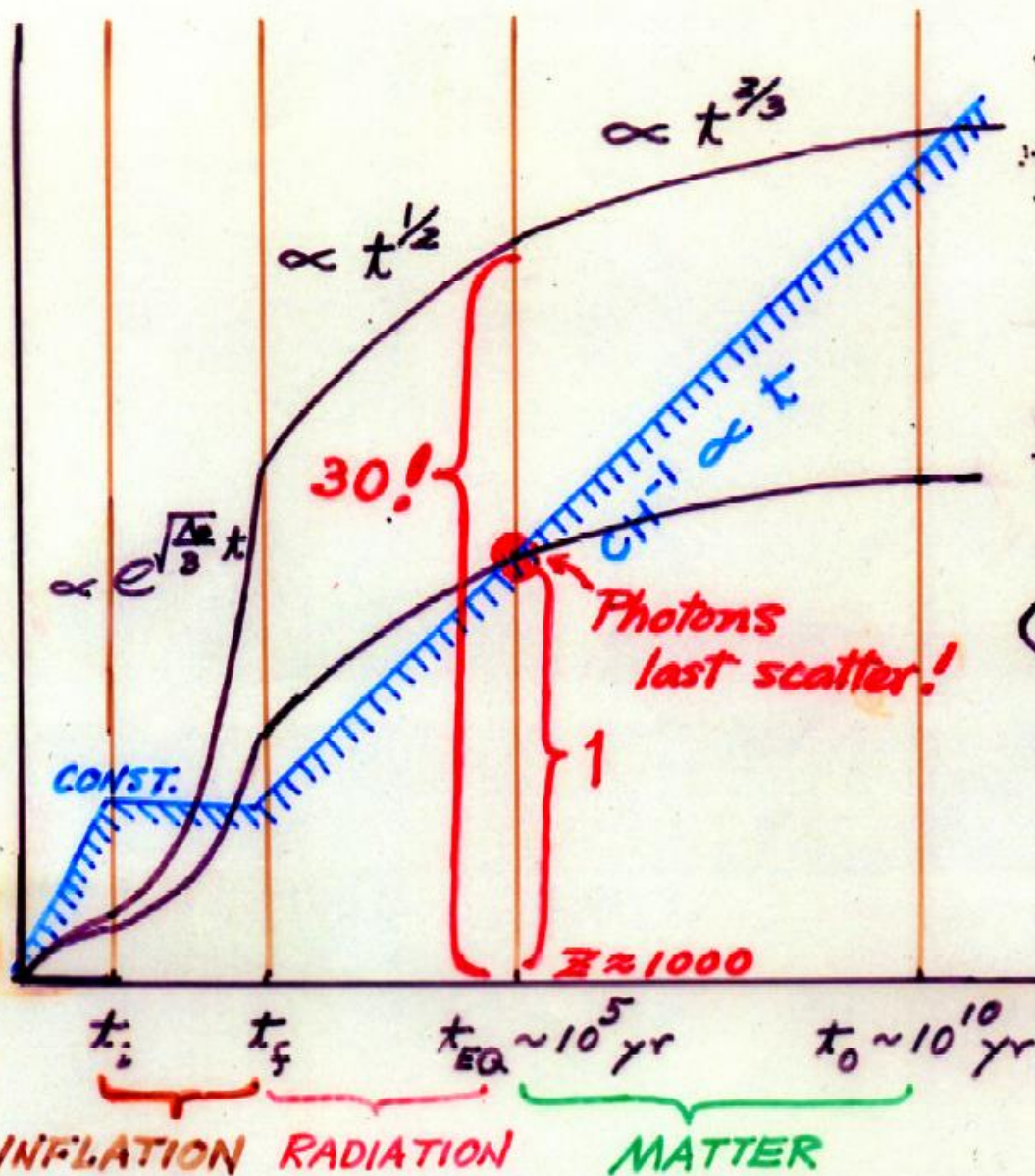




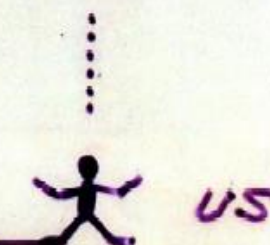
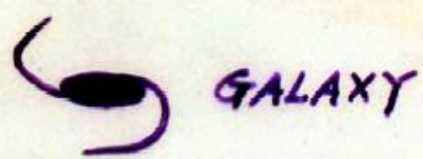
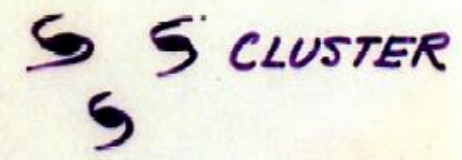
$$d_{\text{phys}} = R(t) \cdot \chi$$

DISTANCE SCALE  $d_{\text{phys}}$

SCALE FACTOR  $R(t)$



LARGE-SCALE STRUCTURE

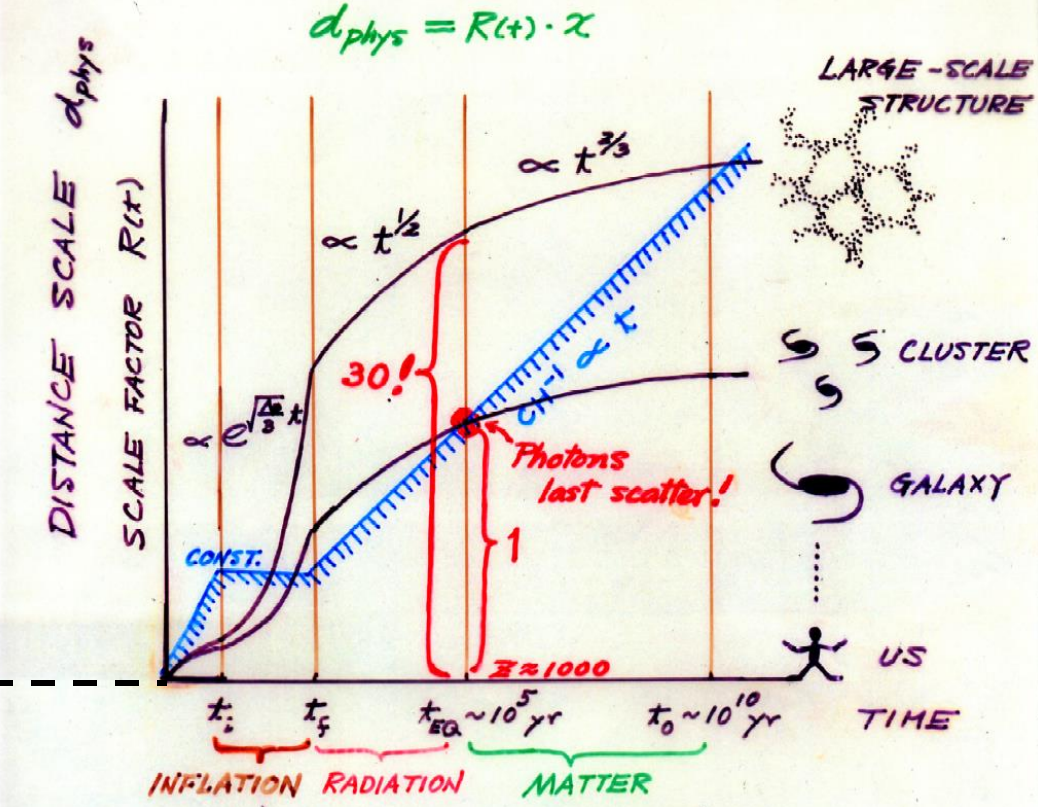
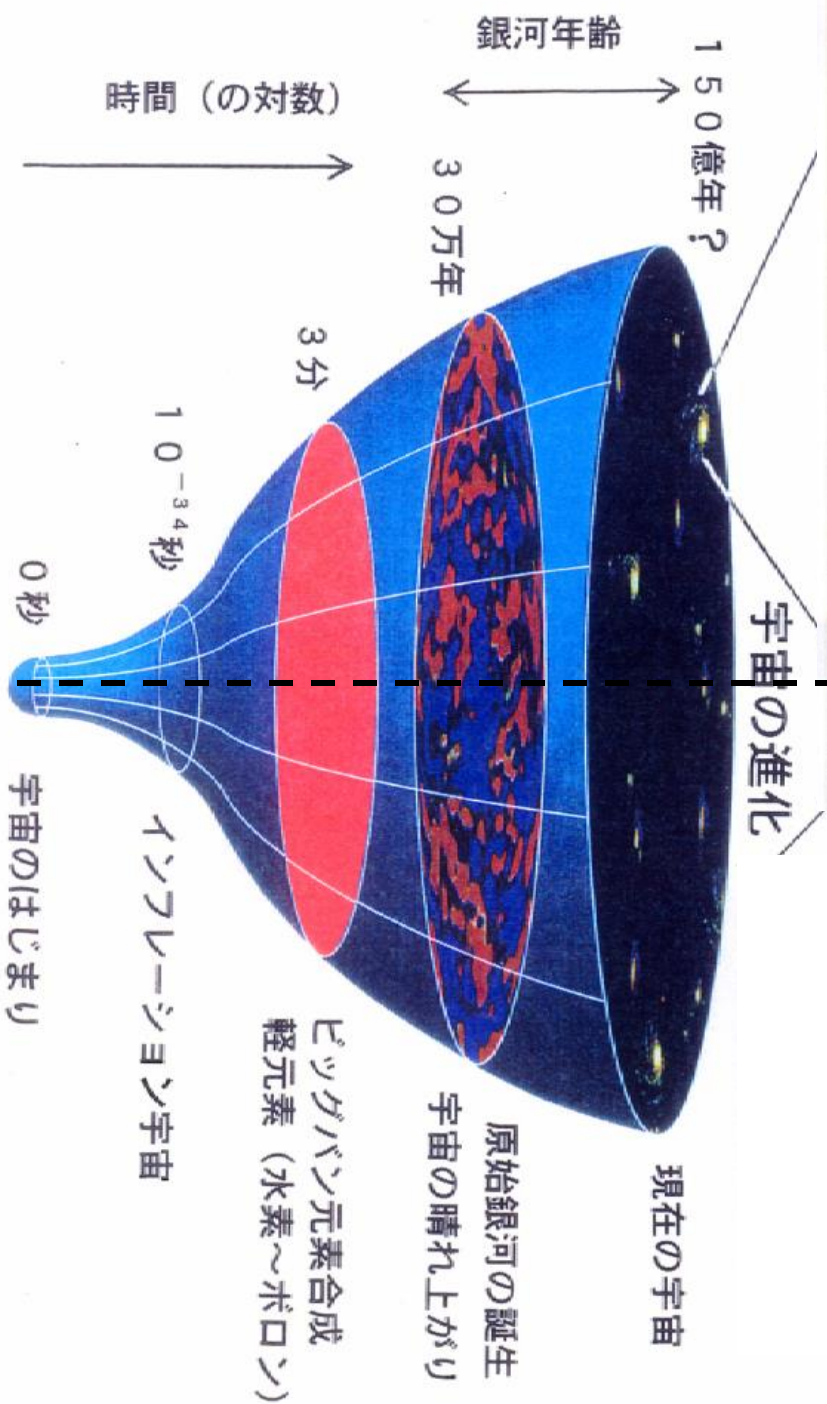


US

GALAXY

CLUSTER

TIME



- Causal horizon expands at the speed of light.
- Space extends even beyond the causal horizon.

● **Good bye (Inflation) → Hello (RD/MD) - SCENARIO**

↑  
DIS-CONNECTED

↓  
HORIZON-in

↑  
Quantum Fluctuation  
Causally Connected

**HORIZON PROBLEM, SOLVED !**

● **Exponential Expansion of CURVED-SPACE**

INFLATION at GUTs EPOCH

$$t_i \approx 10^{-34} \text{ sec} \rightarrow t_f \approx 10^{-32} \text{ sec}$$

$$H^2 \equiv \left(\frac{\dot{R}}{R}\right)^2 \approx \underbrace{\left(\frac{8\pi G}{3} \rho_\phi + \frac{\Lambda}{3}\right)}_{\Lambda_\phi/3} - \frac{k}{R^2}$$

$$H_i = \frac{1}{2t_i} \sim 10^{+34} \approx \sqrt{\frac{\Lambda_\phi}{3}}$$

$$\left|\frac{k}{R_f^2}\right| = \left|\frac{k}{R_i^2}\right| \times \left(\frac{R_i}{R_f}\right)^2 = \left|\frac{k}{R_i^2}\right| \exp\left[2\sqrt{\frac{\Lambda_\phi}{3}}(t_i - t_f)\right] \sim \left|\frac{k}{R_i^2}\right| \times 10^{-87}$$

**FLATNESS PROBLEM,  
SOLVED !**

$1 = \Omega_M + \Omega_\Lambda$